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CRITICAL REVIEW

Optoelectrofluidic platforms for chemistry and biology†

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Extraordinary advances in lab on a chip systems have been made on the basis of the development of micro/nanofluidics and its fusion with other technologies based on electrokinetics and optics. Optoelectrofluidic technology, which has been recently introduced as a new manipulation scheme, allows programmable manipulation of particles or fluids in microenvironments based on optically induced electrokinetics. Herein, the behaviour of particles or fluids can be controlled by inducing or perturbing electric fields on demand in an optical manner, which includes photochemical, photoconductive, and photothermal effects. This elegant scheme of the optoelectrofluidic platform has attracted attention in various fields of science and engineering. A lot of research on optoelectrofluidic manipulation technologies has been reported and the field has advanced rapidly, although some technical hurdles still remain. This review describes recent developments and future perspectives of optoelectrofluidic platforms for chemical and biological applications.

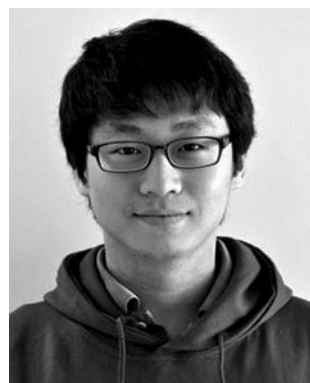
1. Introduction

Miniaturized systems have made extraordinary advances in analytical chemistry^{1,2} and biomedical applications such as clinical diagnostics^{3,4} and drug discovery,^{5,6} since the development of micro/nanofluidics began in the late 1900s. On the progress of the microfluidic lab-on-a-chip, electrokinetics has been an important role in manipulating molecules and fluids in micro/nanoscale devices.^{7,8} The sample flow and other operations in the electrokinetic microfluidic devices are driven by applying electric fields into microfluidic channels with electrodes and electronic circuits.

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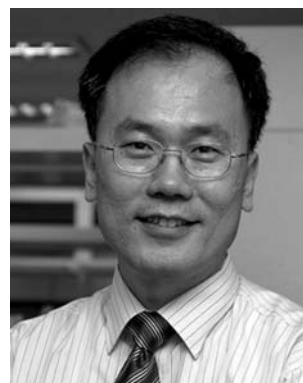
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Much effort has been devoted to understanding the complex characteristics of the electrokinetic phenomena and for developing more efficient and powerful lab-on-a-chip devices.

In recent years, optofluidics, the marriage of optics and microfluidics, has emerged as one of the most advanced technologies for achieving more efficient and more functional lab-on-a-chip systems.^{9,10} The combination of optical fields and microfluidic devices yields many advantages in both perspectives; fluidics for optics and optics for fluidics.¹¹ In particular, numerous optical methods provide simple and powerful way for controlling and sensing biochemical samples in a lab-on-a-chip.

Most recently, a technology called optoelectrofluidics, has attracted significant attention for its fascinating concept, which implies optical control of the electrokinetic phenomena in microfluidic environments, in various fields of science and engineering. Here, we will review the birth and the progress of optoelectrofluidic technologies. The fundamental principles and history of optoelectrofluidic platforms will be presented at first. Some typical cases and potential applications of this technology will be then summarized. Then, current challenges and future perspectives of optoelectrofluidic technologies will be discussed.

2. Optoelectrofluidics

2.1. Principles and history of optoelectrofluidics

Optoelectrofluidics is based on the electrokinetic motions of particles or fluids under an electric field, which is induced or perturbed by light. There are two typical approaches to control the electrokinetic behaviour of particles or fluids in an optical manner: (1) using light directly to change properties of the liquid; and (2) using light to change the conductivity of surfaces. The former was first demonstrated through electrothermal (ET) vortex due to the laser-induced thermal gradient in the liquid by Mizuno *et al.* in 1995.¹² The optoelectrothermal vortex, due to the increase of local temperature of the liquid by a strong infrared laser, has been applied to rapidly concentrate microparticles and to stretch single deoxyribonucleic acid (DNA) molecules (Fig. 1a).¹³ The latter concept was first utilized for patterning of colloidal particles using selective exposure of ultraviolet (UV) onto indium tin oxide (ITO) surface. In 2000, Hayward *et al.* demonstrated the electrokinetic patterning of microparticles in a non-uniform electric field induced by projecting a UV pattern onto an ITO electrode as shown in Fig. 1b.¹⁴ The local increase of current by UV illumination at an ITO–water interface could

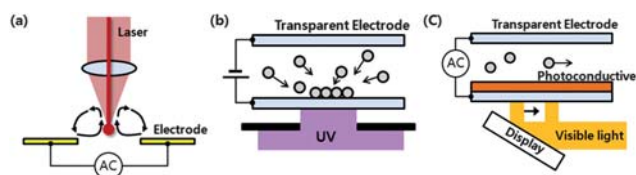


Fig. 1 Three typical types of optoelectrofluidic platforms. (a) Electrothermal vortices due to the optical increase of local temperature of the liquid. Electrokinetic particle manipulation using optically induced virtual electrodes formed by (b) an ultraviolet light pattern projected onto indium tin oxide or (c) an image projected onto a photoconductive layer in an optoelectronic tweezers device.

promote a perturbation of an applied electric field, resulting in the electrokinetic migration of particles.^{15,16}

Recently, both approaches have been noted due to the desires of researchers, which is to optically manipulate fluids or individual particles in a programmable manner. In particular, the methodology based on the optical control of surface conductivity has achieved much advance since the development of optoelectronic tweezers (OETs) in 2005. Chiou *et al.* deposited a photoconductive layer on a plate electrode and made it possible to control an electric field only with a weak conventional light source and to spatially modulate the light pattern with a display device in a simple and easy way (Fig. 1c).¹⁷ This OET platform has further served as a momentum to attract much attention to the optoelectrofluidic technologies. The OET device provided a solution for disposability and interconnection issues in the parallel manipulation of multiple cells using a microelectrode array.^{18,19} Moreover, this method required much lower optical power and offered much larger manipulation area than the typical optical manipulation technique called optical tweezers²⁰ and the laser-induced ET vortex.¹²

2.2. Essential components for optoelectronic tweezers

OET platforms require special configuration, which includes a photoconductive surface and a programmable display device. In this section, therefore, we focus on reviewing the essential components for constructing an OET platform. To construct an OET platform, a device composed of a photoconductive layer and a sample solution, a light source projected into the device to induce or perturb an electric field in the sample solution by forming virtual electrodes, a display device for modulating the light pattern, and a power supply for applying a voltage are essential. Optical components such as lenses and mirrors also play a crucial role in the optoelectrofluidic system, but it depends on the display device and the light source.

The OET device, which was first introduced in 2005, was composed of ITO plate electrodes for the application of a voltage and hydrogenated amorphous silicon (a-Si:H) as a photoconductive layer deposited on the plate electrode.¹⁷ Since the intrinsic a-Si:H has shorter carrier diffusion length and higher optical absorption coefficient than crystalline silicon, it is a good photoconductive material to make virtual electrode patterns of high resolution.²¹ The OET device based on a-Si:H is simple and

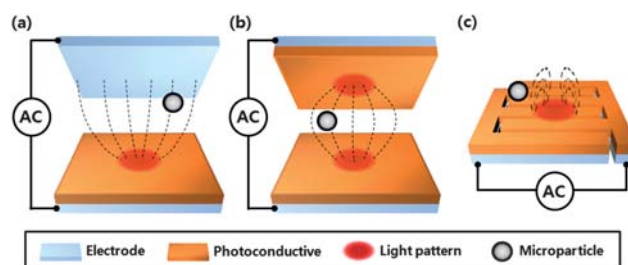


Fig. 2 Typical types of optoelectronic tweezers (OET). (a) A conventional OET device composed of a photoconductive layer and a ground electrode layer. (b) A three-dimensional OET device composed of two photoconductive layers. (c) A single-sided OET device composed of interdigitated electrodes, on which a photoconductive layer is deposited, on a single plate substrate.

easy to fabricate using a plasma enhanced chemical vapour deposition (PECVD) method. In general, a triple layer of (i) heavily doped a-Si:H for lowering contact resistance, (ii) intrinsic a-Si:H for high photoconductivity, and (iii) silicon nitride (or silicon oxide) for passivation was sequentially deposited onto the ITO-coated glass substrate in a single chamber reactor.^{22,23} Finally, a bare ITO-coated glass substrate as a ground electrode is turned upside down and put on the fabricated photoconductive layer as sandwiching a sample solution containing target materials with a certain gap height using spacers as shown in Fig. 2a. The first layer for Ohmic contact was sometimes removed^{24,25} or replaced by other materials such as zinc oxide²⁶ and molybdenum.²⁷ A device composed of two photoconductive layers, in which the ground electrode was exchanged into another photoconductive layer, has also been applied to manipulate microparticles with vertical focusing by three-dimensional (3D) virtual electrodes as shown in Fig. 2b.²⁸ The conventional OET devices have two-parallel-plate configuration, in which a sample solution is sandwiched between two parallel layers. Single-sided OET devices, which are based on interdigitated electrodes patterned on a single plate substrate, have also been developed (Fig. 2c).²⁹

Recently, different types of photoconductive layers have also been utilized for the optoelectrofluidic device—single-crystalline bipolar junction transistor (BJT),³⁰ bulk-heterojunction (BHJ) polymer,³¹ and dye-sensitized solar cells (DSSC).³² When compared to the typical a-Si:H-based OET device, the photoconductivity of the BJT type was about 500-fold higher and it was applicable to high-conductivity media ($> 1 \text{ S m}^{-1}$). However, the fabrication process for the BJT type device was much more complicated than the conventional one. In the case of the BHJ polymer, it is advantageous that the cost and the temperature for manufacturing are relatively low. However, it requires complicated chemical processes as well as is very sensitive to water and oxygen, which may collapse the polymer layer. The DSSC type provides simple and rapid fabrication processes ($< 40 \text{ min}$), but its long-term stability problem still remained as one of challenging issues.

The type of photoconductive layer can affect the configuration of whole optical system as well. There are two types of optical system for optoelectrofluidic platforms—transmissive and reflective. In the transmissive system, an illumination for the manipulation is located on the opposite position to an objective lens for observation. On the other hand, an illumination for manipulation is projected through an objective lens for observation in the reflective system. In the case of the device based on a-Si:H and ITO, for example, both transmissive and reflective optical systems are applicable, while only the reflective system

can be utilized for the phototransistor-based device fabricated on a silicon substrate.

The display device is also one of the important components in the optoelectrofluidic platform. A photomask with a fixed pattern,¹⁴ a diaphragm,³³ or only a focused laser spot^{26,34} has also been applied for projecting a light onto a partial area of the photoconductive layer. A display device, however, is necessary for programmable manipulation of the light-activated virtual electrodes. There are three types of display device, which have been used for operating an OET device (Table 1): (i) a digital micro-mirror device (DMD);¹⁷ (ii) a beam projector;²⁴ and (iii) a liquid crystal display (LCD).^{22,23} The OET platforms based on a DMD and a beam projector always require well-aligned and relatively complicated optical setup for generating and focusing a light pattern, limiting the system integration for user-friendly and portable applications. In an LCD-based optoelectrofluidic platform, called lab-on-a-display, a light pattern generated from an LCD is directly transferred onto an OET device without any optical components between an LCD and an OET device.²² This LCD-based OET offers the simplest structure and the largest manipulation area among the previously-reported optoelectrofluidic platforms. In addition, the lab-on-a-display platform is very thin and tolerant to vibrations due to the elimination of lens and optical alignment, providing more suitable form for portable applications. The lens-less structure, however, causes a blurred image due to diffraction of light, limiting the minimum size of virtual electrodes and the performance of particle manipulation. To overcome those limitations of each platform, Hwang *et al.* proposed a lens-integrated type of an LCD-based OET system.²³ In this system, an LCD module is installed on an illumination of a conventional microscope. A condenser lens, which is integrated in the microscope, focuses a light pattern from the LCD module onto the photoconductive layer of an OET device on the microscope stage. This platform based on a conventional microscope provides much simpler and easier way to practically use OET in a laboratory for chemical and biological research than a DMD- and a projector-based platforms, as well as much higher manipulation performances than a lens-less lab-on-a-display platform.

The OET platform requires a light source, whose intensity is much lower than that for typical optical tweezers system.¹⁷ Therefore, we do not have to seriously care about photonic and thermal damages of biochemical samples. Practically, however, a light source is closely connected with the optical components in a whole system and a display device. When a laser source is applied, numerous optical components are required to project, to spatially modulate, and to focus a light pattern onto the photoconductive surface whatever a display device is used.^{26,34–36} On the other hand, in the case of a conventional light source such as

Table 1 Optoelectrofluidic platforms based on optoelectronic tweezers according to a display device

Display device	Year of the first demonstration	Optical setup	Portability	Manipulation performance	Minimum pixel size/ μm	Ref.
DMD	2005	Complicated	No	Excellent	1.52	Chiou <i>et al.</i> ¹⁷
Projector	2005	Complicated	No	Excellent	5–35	Lu <i>et al.</i> ²⁴
LCD	2007	No	Yes	Bad	200	Choi <i>et al.</i> ²²
LCD	2008	Conventional microscope (One condenser lens)	Yes	Excellent	1–2.8	Hwang <i>et al.</i> ²³

a halogen lamp, optical components are not always required or not so complicated if an LCD is utilized as a display device.^{22,23} This simple configuration provides higher flexibility on the optoelectrofluidic system according to the target application.

2.3. Physical phenomena in an optoelectrofluidic device

The main driving force for particle manipulation in an optoelectrofluidic device includes conventional electrokinetic mechanisms such as electrophoresis, dielectrophoresis (DEP), ac electroosmosis (ACEO), ET effect, and electro-orientation, which are induced by an optical method. In addition, the electrostatic interactions due to the polarization of dielectric particles could also be observed.

Electrophoresis, the movement of charged objects in an electric field, is originated from the Coulomb force, which is defined by:

$$F_{\text{Coulomb}} = qE \quad (1)$$

where q is the net charge of the particle and E is the applied electric field. In general, most cells have functional groups, of which charge is negative at neutral pH.³⁷ Therefore, manipulation and separation of different cells according to their zeta potentials by applying dc or ac electric field of extremely low frequency below about 10 Hz is possible. Hayward *et al.* have patterned polystyrene microbeads based on an optically induced electrophoresis using a UV light pattern which is projected onto an ITO electrode under dc condition.¹⁴

DEP, one of the most widely applied principles for the optoelectrofluidic manipulation, is the movement of dielectric objects under a non-uniform electric field driven by forces arising from the interaction between an induced electric dipole of the particle and the applied electric field.³⁸ The DEP force acting on a spherical particle is given by:

$$F_{\text{DEP}} = 2\pi r^3 \epsilon_m \text{Re}[f_{\text{CM}}] |\nabla|E|^2 \quad (2)$$

where r is the radius of the particles; ϵ_m is the permittivity of the suspending medium. $\text{Re}[f_{\text{CM}}]$ is the real part of the Clausius-Mossotti factor which is described as below:

$$f_{\text{CM}} = \frac{\epsilon_p^* - \epsilon_m^*}{\epsilon_p^* + 2\epsilon_m^*} \quad (3)$$

where ϵ_p^* and ϵ_m^* are the complex permittivities of the particle and the medium, respectively. The value of $\text{Re}[f_{\text{CM}}]$ depends on the frequency of applied ac voltage and the conductivity of particles and medium, varying between +1 and -0.5. If $\text{Re}[f_{\text{CM}}]$ is negative, in the optoelectrofluidic device, the particles are repelled from the light pattern, where the electric field is relatively higher than other region (negative DEP). If $\text{Re}[f_{\text{CM}}]$ is positive, the particles move toward the light pattern (positive DEP).

The DEP force is proportional to the volume of particle and the square of the electric field gradient. This nature of DEP force limits the rapid manipulation of submicro-/nanoscale particles existing far from the edge of the virtual electrodes. Due to the limitation of DEP, the optically induced ACEO, which is a fluidic motion generated by the motion of ions within the electric double layer due to the tangential electric field, have been applied for rapid concentration of microparticles, nanoparticles and molecules

using the optoelectrofluidic device. When an image generated from a display device was projected onto the photoconductive layer, the particles and molecules suspended around the image pattern are rapidly moved to the illuminated area by the optically induced ACEO flow. The particles, which have been staying far away from the virtual electrodes, are also driven by the globally occurred flows. The fluids around the partially illuminated area in the optoelectrofluidic device flow along the surface of the photoconductive layer with a rectified slip velocity defined as:

$$\langle v_{\text{slip}} \rangle_t = \frac{1}{2} \frac{\lambda_D}{\eta} \text{Re}[\sigma_q \mathbf{E}_t^*] \quad (4)$$

where λ_D is the Debye length; η is the fluid viscosity; σ_q is the charges contained in the Debye layer; and E_t is the tangential electric field.³⁹ This ACEO flow is dominant at the relatively low-frequency conditions below about 10 kHz.

The frequency-dependent phenomena of those two mechanisms, DEP and ACEO, has been applied for rapid and selective concentration of microparticles using an optoelectrofluidic platform.⁴⁰ At 10 kHz frequency, 1 μm diameter polystyrene beads were concentrated into the illuminated area by ACEO flows, while 6 μm diameter polystyrene beads were repelled from the area due to relatively strong negative DEP forces. As a consequence, the 1 μm beads were simultaneously separated from the mixture as shown in Fig. 3a. At ac frequencies below 1 kHz, both different sized particles were concentrated into the illuminated area due to the hydrodynamic drag forces by ACEO flows, which is much stronger than the DEP forces. At such an extremely low frequency, it has been known that not only the global flows by ACEO around the light pattern, but also local induced-charge electroosmosis along the surface of particles,⁴¹ Faradaically-coupled electroosmosis beneath the particles,⁴² and the electrostatic particle-particle interactions⁴³ significantly affect the behavior of particles in concert within the illuminated area, where a stagnation region is formed by converging ACEO flows.⁴⁴ Those mechanisms have been utilized for two-dimensional (2D) patterning of 3 μm diameter polystyrene microparticles with a certain distance among them, which is tunable by adjusting the applied ac frequency as shown in Fig. 3b. At 100 Hz, the forces, which assemble and closely pack the particles, acting on the 6 μm beads, became larger than that acting on the 1 μm beads. As a result, only the 6 μm beads were concentrated and closely packed within the illuminated area, and the 1 μm beads were pushed out from the area and swept away by the vortices around there as shown in the right panel of Fig. 3c.

The electrostatic interactions generated by induced dipole of dielectric particles also affect the particle behaviour in an optoelectrofluidic device.⁴³ The electrostatic force among the polarized particles can be governed by:⁴⁵

$$F_{\text{DIP}} = \frac{12\pi r^6 \epsilon_m \text{Re}[f_{\text{CM}}]^2}{d^4} [d_{ij}(\mathbf{E}_i \mathbf{E}_j) + (d_{ij} \mathbf{E}_i) \mathbf{E}_j + (d_{ij} \mathbf{E}_j) \mathbf{E}_i - 5d_{ij}(\mathbf{E}_i d_{ij})(\mathbf{E}_j d_{ij})] \quad (5)$$

where d_{ij} is the unit vector in the direction from the center of the i th particle to the center of the j th particle. When more than two dielectric particles are closed to each other and out of horizontal with a certain vertical distance in an optoelectrofluidic device, they attract each other and form a chain in the electric field

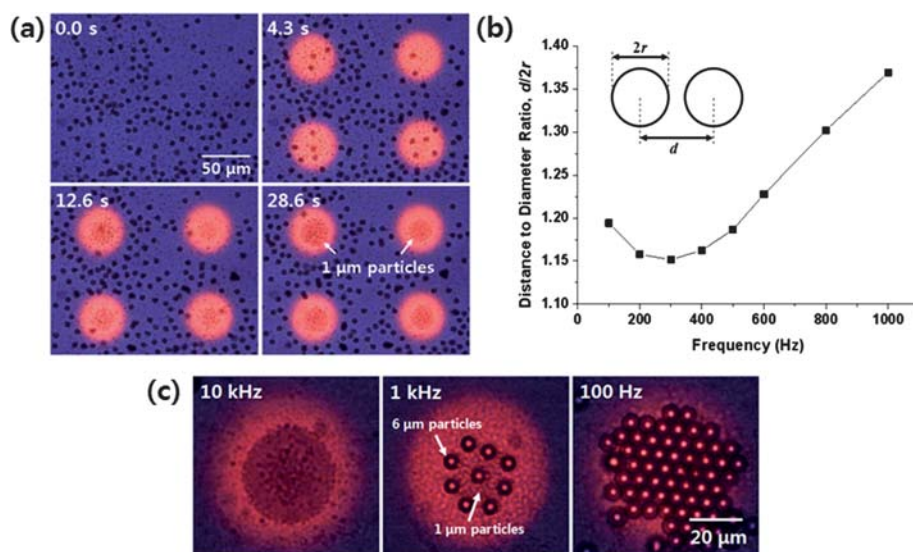


Fig. 3 Frequency-dependent phenomena in an optoelectrofluidic platform. (a) Optoelectrofluidic simultaneous separation of microparticles using DEP and ACEO at 10 kHz (Hwang and Park⁴⁰—reproduced by permission of The Royal Society of Chemistry). (b) Change of distances among 3 μm diameter microparticles concentrated within the illuminated area (adapted with permission from Hwang *et al.*⁴⁴ Copyright 2009 American Chemical Society). (c) Microscopic pictures of the 1 μm and 6 μm particles patterned within the illuminated area at the frequency conditions of 10 kHz (left), 1 kHz (center) and 100 Hz (right) (Hwang and Park⁴⁰—reproduced by permission of The Royal Society of Chemistry).

direction, while they repel each other in the direction perpendicular to an electric field until they are kept apart enough from each other or meet other one which repels them in the opposite direction, when they are at the same level.

The ET effect, which is due to a temperature gradient created by a strong light source, has also been observable in the optoelectrofluidic device at high optical power intensities. The thermal gradient in the fluid results in a gradient in the fluid permittivity and conductivity, thus a fluidic motion is induced by a body force due to an electric field, which is defined by:⁴⁶

$$\langle f_{ET} \rangle_t = \frac{1}{2} \text{Re} \left[\frac{\sigma_m \epsilon_m}{\sigma_m + i\omega \epsilon_m} (\kappa_\epsilon - \kappa_\sigma) (\nabla T \cdot \mathbf{E}) \mathbf{E}^* - \frac{1}{2} \epsilon_m \kappa_\epsilon |\mathbf{E}|^2 \nabla T \right] \quad (6)$$

where σ_m is the fluid conductivity; $\kappa_\epsilon = (1/\epsilon)(\partial\epsilon/\partial T)$ and $\kappa_\sigma = (1/\sigma)(\partial\sigma/\partial T)$ are the variations of the electrical properties according to the temperature; T is the temperature; and \mathbf{E}^* is the complex conjugate of the electric field. In an optoelectrofluidic device, thermal gradients can be generated by Joule heating or by highly focused light. Since Mizuno *et al.* has first applied this ET effect to manipulate fluids, microparticles, and molecules using two electrodes and an infrared laser source in 1995,^{12,47} several studies based on two parallel plate electrodes have been reported as shown in Fig. 4a.^{48–50} In those reports, the high-power laser source ($>100 \text{ kW cm}^{-2}$) was the most dominant factor for generating the temperature gradient in a fluid. On the other hand, Joule heating is more dominant factor in the OET device, in which the photoconductive layer absorbs a light source to induce an electric field. The ET flows in OET can be induced by an illumination ($> 100 \text{ W cm}^{-2}$), which is much weaker than that for high-power laser-induced ET, but stronger than that for DEP or ACEO in an OET device ($>1 \text{ W cm}^{-2}$).²⁵ Patterning of metal

nanoparticles with a conventional projector ($\sim 10 \text{ W cm}^{-2}$) has also been demonstrated using the ET vortices in an OET device as shown in Fig. 4b.³⁶

When non-spherical particles immersed in a solution of different permittivity are exposed to a uniform electric field, they are aligned along the direction of electric field with a torque defined as:⁵¹

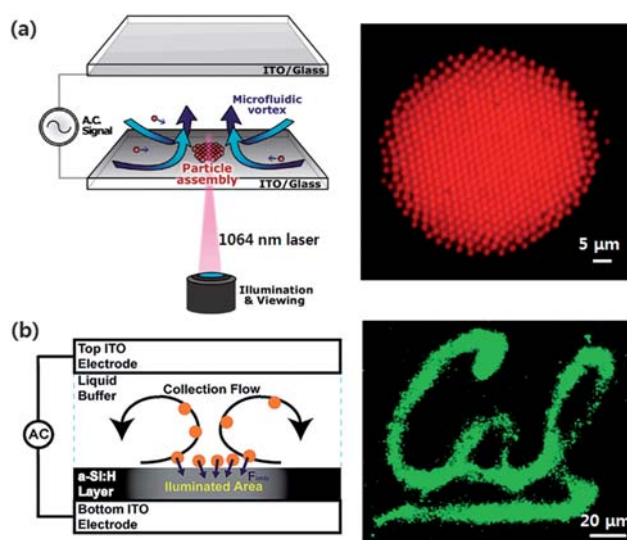


Fig. 4 Patterning of colloidal particles using optically induced electro-thermal (ET) effects. The temperature gradients in a fluid for ET vortices can be induced (a) by a highly focused laser between two parallel plate electrodes (adapted with permission from Kumar *et al.*⁴⁹ Copyright 2010 American Chemical Society) or (b) by Joule heating in an OET device (adapted with permission from Jamshidi *et al.*³⁶ Copyright 2009 American Chemical Society).

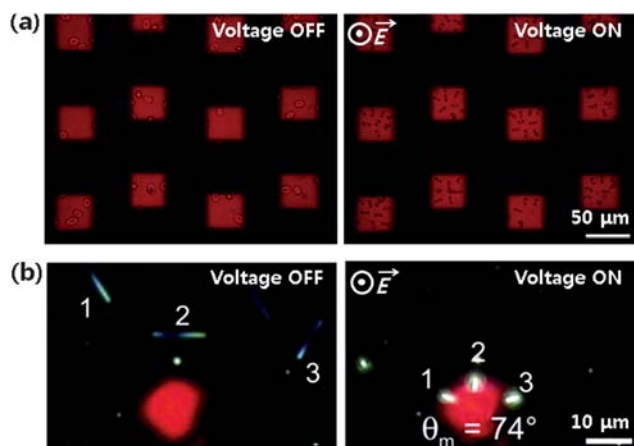


Fig. 5 Electro-orientation in an optoelectrofluidic device. (a) Red blood cells and (b) nanowires (adapted by permission from Jamshidi *et al.*³⁵ Copyright Macmillan Publishers Ltd 2008).

$$T = \frac{4\pi abc(\epsilon_p - \epsilon_m)^2(L_{\perp} - L_{\parallel})}{3\epsilon_p \left[1 + \left(\frac{\epsilon_p - \epsilon_m}{\epsilon_m} \right) L_{\perp} \right] \left[1 + \left(\frac{\epsilon_p - \epsilon_m}{\epsilon_m} \right) L_{\parallel} \right]} \mathbf{E}_{\perp} \mathbf{E}_{\parallel} \quad (7)$$

where a , b , c are the semiaxes of the ellipsoid; and L is the depolarization factor. This phenomenon, which is called electro-orientation, has also been observed in an OET device. When red blood cells,²³ which have a concave structure, and nanowires³⁵ were manipulated using optically induced DEP, they were vertically aligned due to the vertical component of the electric field in an OET device as shown in Fig. 5a and 5b. The electro-orientation in an OET device has been applied for trapping motile bacteria, which have rod-like structure, using a torque induced and controlled by an illumination.⁵²

Non-electrical force, which induces non-specific particle adhesion on the device surface, has also been observed. Such the surface-particle interaction could be promoted by influences of gravity, electrostatic attraction, vertical component of electrokinetic force such as DEP, and surface property of target particle. For reducing those effects, several approaches have been demonstrated: (i) 3D focusing using two photoconductive layers;²⁸ (ii) cancellation of gravity force by pulling-up DEP force;⁵³ and (iii) chemical treatment of device surface.⁵⁴ The method, which is based on two photoconductive layers, makes it available to completely prevent the particle-surface interactions by focusing particles in 3D, and changing number or direction of the photoconductive layer is relatively simple and does not require additional processes for chemical treatment. However, the opaque photoconductive layer may interfere with the optical observation of the samples or require some modifications of optical pathways.

3. Optoelectrofluidic manipulation

Manipulation of many kinds of materials including both biological and non-biological things has been demonstrated using optoelectrofluidics since it had appeared. Some typical cases are summarized in Table 2.

3.1. Biological materials: cells and molecules

Optoelectrofluidic technologies have been applied to manipulate several types of biological materials such as cells and molecules. Since most cells show positive DEP motion in low-conductivity media, they are trapped within and moved along dynamic image patterns in an optoelectrofluidic device. Based on this phenomenon, the trapping and manipulation of blood cells,^{23,55} HeLa cells,^{29,34,54} and HepG2 cells³² have been demonstrated using a programmable image and an OET device (Fig. 6a).

The DEP characteristics of cells can be utilized as a criterion for judging the state of the cells—death,⁵⁶ toxicants treatment,⁵⁷ fertilization,⁵⁸ or health.⁵⁹ On the basis of this knowledge, an OET device has been applied for automated selection of normal oocytes for *in vitro* fertilization in a non-contact manner.⁵³ In this study, the heavy and sticky cells could be effectively manipulated by applying pulling-up DEP force induced by an LCD image and an OET device turned upside down—the photoconductive layer was on the upper position—as shown in Fig. 6b.

Not only the non-motile cells such as blood cells and oocytes, but also motile bacteria have been trapped using optically controlled electro-orientation (Fig. 6c).⁵² The high-motility of the ciliates interferes with effective trapping and manipulation of them using optically induced DEP. In this study, therefore, a different electrokinetic mechanism, electro-orientation, not for trapping swimming bacteria by force, but for changing their moving direction, has been applied.

The manipulation of DNA molecules using optically induced DEP in an OET device has also been demonstrated as shown in Fig. 7a.²⁶ Biological molecules generally show positive DEP motions in low-conductivity media like cells, thus they were attracted toward a light pattern projected onto the photoconductive layer. However, rapid and effective manipulation of individual molecules using DEP is more difficult than that of cells because of their tiny volume and dominant thermal motion. To overcome this limitation, a microbead, on which DNA molecules were immobilized, has been applied to control elongation and rotation of a single DNA molecule using OET as shown in Fig. 7b.⁶⁰ The stretching of a DNA molecule has also been demonstrated by applying laser-induced ET vortices.⁶¹ In addition, ACEO vortices induced by a partial illumination of the photoconductive layer in an OET device has been applied to concentrate DNA molecules.⁶²

The optically induced ACEO flow has been applied to concentrate proteins and polysaccharides as well.³³ In that report, not only the concentration, but also the control of local chemical concentration in a solution droplet have been demonstrated using a conventional fluorescence microscope. Rapid switching and precise control of chemical concentration within an illuminated area has been possible based on the combination of frequency-dependent electrokinetic mechanisms such as ACEO, electrostatic interactions, and DEP (Fig. 7c). Spatial control of local molecular concentration in a fluid was also possible by controlling a light pattern as shown in Fig. 7d.

3.2. Non-biological materials

Many types of non-biological materials have also been manipulated using an optoelectrofluidic device. Polymer microbeads,

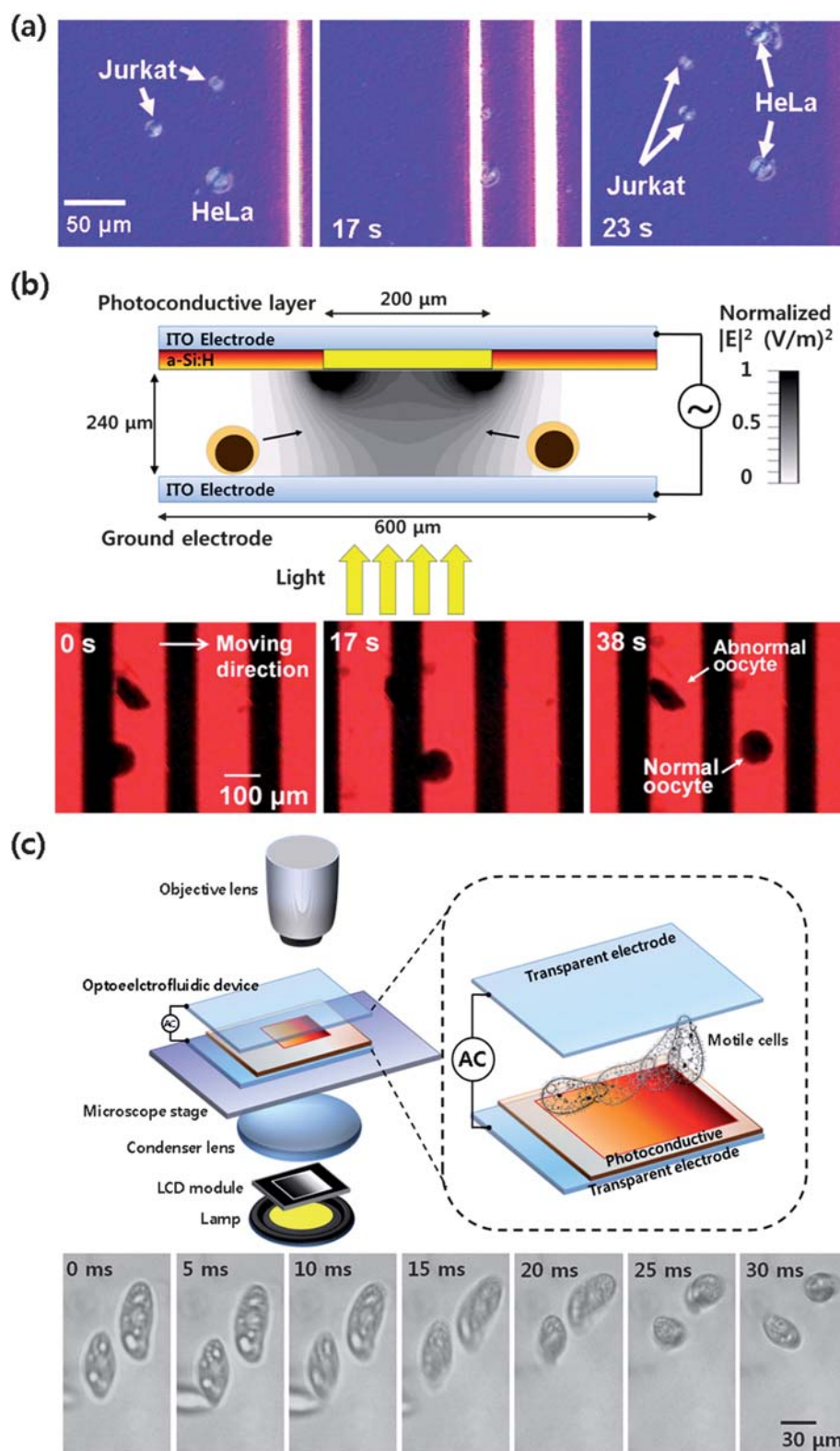


Fig. 6 Optoelectrofluidic trapping and manipulation of cells. (a) Jurkat cells and HeLa cells were manipulated using a conventional optoelectronic tweezers (OET) device (adapted with permission from Ohta *et al.*²⁹ Copyright 2007 IEEE). (b) Heavy and sticky cells such as oocytes were manipulated using a pulling-up dielectrophoretic force in an OET device turned upside down (adapted with permission from Hwang *et al.*⁵³ Copyright 2009, American Institute of Physics). (c) Swimming bacteria, which have an ellipsoidal structure, were trapped by optically induced electro-orientation (adapted with permission from Choi *et al.*⁵² Copyright 2008, American Institute of Physics).

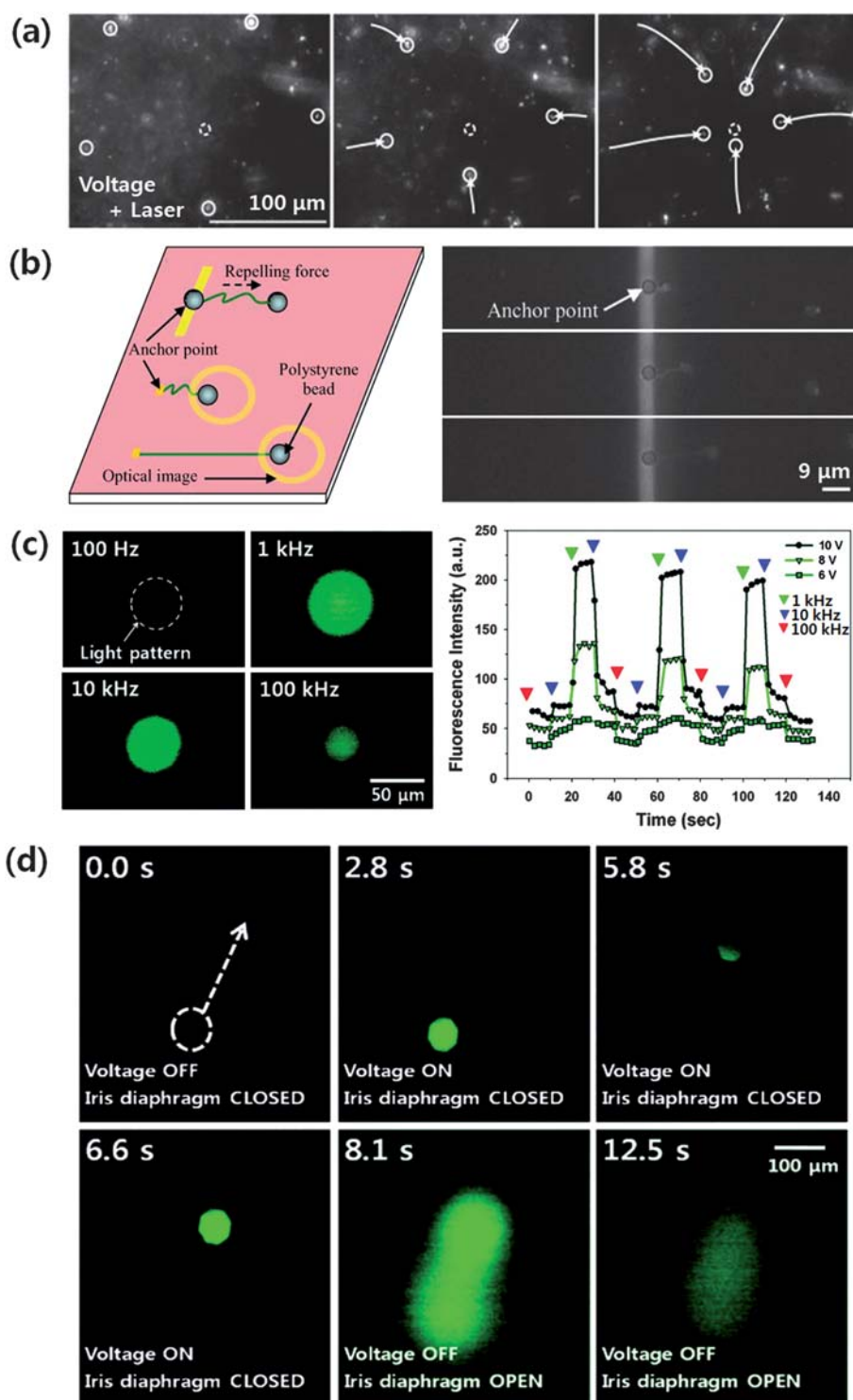


Fig. 7 Optoelectrofluidic manipulation of molecules. (a) Concentration of DNA toward a laser spot (adapted from Hoeb *et al.*²⁶ Copyright 2007, with permission from Elsevier). (b) Elongation of DNA bounded onto a polymer microbead using a programmed image (adapted with permission from Lin *et al.*⁶⁰ Copyright 2009, Optical Society of America). (c) Temporal and (d) spatial control of local chemical concentration of FITC-dextran molecules in a solution using an optoelectrofluidic fluorescence microscopy (adapted with permission from Hwang and Park,³³ Copyright 2009 American Chemical Society).

which are well-understood, cheap, and easy to prepare, have been frequently applied for both understanding fundamental principles occur in optoelectrofluidic devices and testing device performance. In parallel plate ITO electrodes, concentration and

patterning of polymer micro/nanoparticles have been demonstrated using laser-induced vortices.^{48,49,63} In an OET device, structures of 2D crystals composed of polymer microbeads have been controlled using optoelectrofluidic mechanisms occur at the

ac frequencies below 1 kHz and image patterns generated from a conventional beam projector.⁴⁴ Image-driven concentration of quantum dots⁶² and metal nanoparticles³⁶ have also been demonstrated using optically induced ACEO and ET flows in an OET device, respectively. Trapping and separation of nanowires³⁵ and carbon nanotubes^{64,65} have been performed using an optically induced DEP force. Microdisk lasers, which were released from a substrate after the fabrication, have been reassembled on a silicon substrate using a single-sided OET device.⁶⁶

3.3. Two-phase systems

Liquid phase has also been manipulated using an optoelectrofluidic device. Both water-in-oil⁶⁷ and oil-in-water⁶⁸ droplets

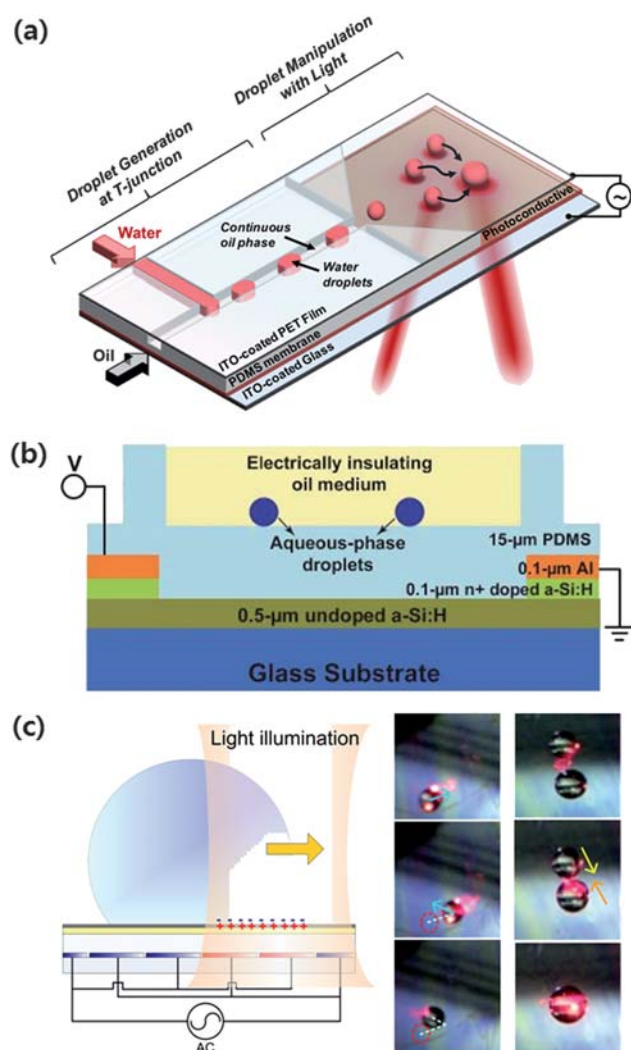


Fig. 8 Optoelectrofluidic manipulation of liquid droplets. (a) Continuous generation and manipulation of picolitre volume droplets in a channel-integrated OET device (adapted with permission from Lee *et al.*⁶⁷ Copyright 2009, American Institute of Physics). (b) Floating electrode OET device for light-induced dielectrophoretic droplet manipulation (adapted with permission from Park *et al.*⁶⁹ Copyright 2008, American Institute of Physics). (c) Optoelectrowetting-based droplet manipulation in an open environment (adapted with permission from Chuang *et al.*⁷⁷ Copyright 2008, American Institute of Physics).

could be manipulated using optically induced DEP in an OET device. Microfluidic channels have also been integrated using a soft-lithographic method for continuous generation and manipulation of picolitre volume water-in-oil emulsions as shown in Fig. 8a.⁶⁷

For droplet manipulation, devices of which configurations are different from that of the conventional OET devices for particle manipulation have also been developed. For example, a floating electrode OET (FEOET) device, in which a lateral electric field formed by two separated electrodes on a photoconductive layer is perturbed by an illumination, has been reported for DEP-based droplet manipulation as shown in Fig. 8b.⁶⁹ Although the FEOET platform requires high-power electrical source above several hundreds of volts for operation, it provides a flexible interface with other microfluidic components such as tubing, microwell arrays and closed channels as well as an image-controlled parallel processing for transportation, merging, mixing, and sorting nanolitre volume droplets.⁷⁰

A different mechanism, electrowetting, has also been applied for droplet manipulation. Electrowetting is a phenomenon that an interfacial tension of small volumes of liquid is altered by an electric field and thus the contact angle is changed or the bulk liquid motion is appeared.⁷¹ This mechanism has been applied to parallel manipulation of droplets using a microelectrode array for several fields of biology and chemistry.^{72–74} In an OET device, the virtual electrodes for electrowetting of liquid droplets can be generated by partial illumination of the photoconductive layer either. The technology, which is called optoelectrowetting, has been applied for manipulation of picolitre-volume droplets with a light.^{75,76}

For the electrowetting-based droplet manipulation in open environments, single-sided OET (Fig. 8c)⁷⁷ and FEOET⁷⁸ devices have been applied. Those open-optoelectrowetting systems have configurations similar to each other, in which the photoconductive layer is deposited on or beneath the separated electrodes. Here the voltage conditions for operation depend on the thickness of the photoconductive layer and the gap between the electrodes. The open-optoelectrowetting technologies are very useful for sample injection and collection and for integration with other fluidic components.

4. Integration issues

The optoelectrofluidic platforms are simple and flexible as well as programmable. However, integration of other components—fluidic or optical—is sometimes required for allowing more flexibility, higher performance, and more complicated processes. The OET basically requires no fluidic components such as tubing or pumps. This simple structure, however, sometimes makes trouble in performing complicated processes, which require injection or collection of multiple samples, change of buffer media, and continuous sample processing.

Integration of microfluidic channels into an OET device has allowed it to perform such the complicated processes. For example, continuous sorting of microbeads,^{27,79} and *in situ* generation and manipulation of water droplets⁶⁷ have been demonstrated with an OET device, into which microfluidic channels made from photoresist and polydimethylsiloxane (PDMS), respectively, were integrated.

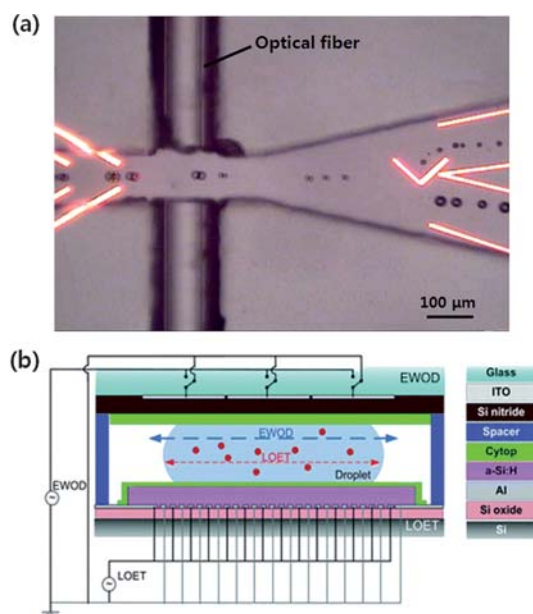


Fig. 9 Integration of OET device with other components. (a) Integration of optical fibers for continuous counting of particles (adapted from Lin and Lee,²⁷ Copyright 2008, with permission from Elsevier). (b) Integration with electrowetting device for independent manipulation of droplets and suspended particles (Shah *et al.*⁸¹—reproduced by permission of The Royal Society of Chemistry).

In addition, integration of optical components such as optical fibers, lenses, and mirrors is also required for *in situ* measurement of experimental results or higher manipulation performances. Optical fibers have been integrated into an OET device for continuous counting of microbeads²⁷ and cells⁸⁰ as shown in Fig. 9a.

In the case of the single-sided OET device, it is easy to integrate other components because of its simple and open configuration. A device for electrowetting-based droplet manipulation has been integrated into an single-sided OET device as shown in Fig. 9b.⁸¹ In this system, microbeads were manipulated using OET, and droplets, which contain the microbeads, were manipulated by controlling an electrode array. Simultaneous manipulation of microparticles and droplets containing them has also been demonstrated on an open-optoelectrowetting device using two laser sources; one for manipulating droplets based on optoelectrowetting and the other for concentrating microparticles based on optoelectrothermal effect.⁸² These hybrid systems could make it possible to perform more complicated chemical and biological processes, which require several types of buffer media.

5. Applications in chemistry and biology

Based on the optoelectrofluidic manipulation of various materials, numerous potential applications have been suggested in several fields including chemistry and biology. Some typical examples, which show specific applications of the optoelectrofluidic technologies in chemistry and biology, are summarized in Table 3.

Optoelectrofluidics is a technology originating on the basis of the manipulation of micro-scale objects. Patterning or sorting of microbeads, which can be a model of biological cells, are basic

Table 3 Summary of typical cases in optoelectrofluidic applications for chemistry and biology

Types of application	Specific application	Scheme	Light source/pattern generator	Typical operating principles	Target	Ref.
Manipulation	Particle patterning	UV-induced electrokinetics IR-induced electrothermal vortices	UV/photomask Laser/spot	Electrophoresis Electrothermal (ET) flow	Polymer particles Polymer particles Metal nanoparticles	Hayward <i>et al.</i> ¹⁴ Williams <i>et al.</i> ^{48,63} Jamshidi <i>et al.</i> ³⁶
	Particle sorting	Electrothermal vortices in optoelectronic tweezers (OET) Combination of electrokinetic phenomena in OET Negative DEP in OET Combination of electrokinetics with IR-induced ET flow in OET Combination of electrokinetics in OET Continuous sorting with flows in fiber-integrated OET Light-scanning in OET	Laser/spot or Projector Projector Halogen lamp/DMD Laser/spot Halogen lamp/liquid crystal display (LCD) Projector Projector Laser/digital micro-mirror device (DMD) Halogen lamp/LCD Projector Projector	Dielectrophoresis (DEP), ET flow DEP, ac electroosmosis (ACEO), electrostatic interactions DEP ET flow, ACEO, electrostatic interactions DEP, ACEO, electrostatic interactions DEP DEP DEP DEP — — ACEO, electrostatic interactions	Polymer particles HepG2 cells Polymer particles Polymer particles Polymer particles Polymer particles Polymer particles Polymer particles HeLa and Jurkat cells Porcine oocytes HeLa cells Skin fibroblasts Dextran molecules	Hwang <i>et al.</i> ⁴⁴ Yang <i>et al.</i> ³² Williams <i>et al.</i> ⁸⁴ Hwang and Park ⁴⁰ Lin and Lee ²⁷ Lin <i>et al.</i> ⁸⁵ Ohta <i>et al.</i> ²⁹ Hwang <i>et al.</i> ⁵³ Valley <i>et al.</i> ⁹⁰ Lin and Lee ⁸⁰ Hwang and Park ⁹³
Electric stimulation	Cell electroporation	Local enhancement of electric field in OET	Projector	DEP	HeLa cells	Hwang <i>et al.</i> ⁵³
Measurement and analysis	Cell lysis	Local enhancement of electric field in OET	Projector	—	—	—
	Diffusion analysis	Local molecular depletion in OET at low ac frequency	Halogen lamp/diaphragm	ACEO, electrostatic interactions	—	—

potential applications, which can be most easily and simply embodied with such manipulation technologies. For patterning microbeads, 2D colloidal assembly has been demonstrated using several optoelectrofluidic techniques such as UV-induced electrokinetics on ITO,¹⁴ optically induced ET vortices (Fig. 4a and 4b),^{48,63} and frequency-dependent optoelectrofluidic mechanisms in an OET device.⁴⁴ Recently, optoelectrofluidic patterning of HepG2 cells has been demonstrated.³² However, those patterning techniques have never been applied for practical biological applications such as cell-based assays or tissue engineering. Even if cellular patterning can be done soon, a way for using the cellular pattern on an OET device or on an electrode to improve traditional methods for biologists should be found for practical usages of these technologies. This issue is a challenge not only for the optoelectrofluidic techniques, but also for the other conventional electrokinetic patterning methods.⁸³

Several separation skills using an optoelectrofluidic platform have also been reported: (1) continuous separation based on optically induced DEP with pressure-driven flows in a microfluidic channel (Fig. 9a);²⁷ (2) simultaneous separation and concentration using frequency-dependency of optically induced electrokinetics and electrostatic interactions in a static droplet (Fig. 3a);^{40,84} and (3) separation based on optically induced DEP using a light-scanning method without flows in a static droplet (Fig. 6a).^{29,53,55,85} Among these separation techniques, the second and the third ones have unique advantages: only a tiny droplet of sample is necessary; no fluidic components are required; all processes are automatically controllable with a display device. However, separation performances such as throughput, purity, and resolution have never been investigated and compared to conventional technologies such as fluorescence-activated,⁸⁶ magnetic-activated,⁸⁷ and DEP-activated⁸⁸ cell sorters. Collection of the separated samples with high recovery is also one of the challenging problems.

In an OET device, an electric field distribution can be controlled by an illumination and a voltage source. When a strong light is focused to a cell in an OET device, a dense electric field is formed around the cell, thus the cell membrane becomes destabilized.⁸⁹ Based on this technique, selective electroporation of cells has been possible as shown in Fig. 10a.⁹⁰ Control of electrical cell lysis has also been demonstrated by adjusting the applied voltage and the light intensity.⁸⁰ This optoelectrical stimulation of cell membrane, optoelectroporation, can be applied to single cell-based studies about drug or gene delivery. However, more studies should follow to investigate how more useful these techniques on the basis of virtual electrodes is in practice, when compared to conventional methods based on microelectrodes⁹¹ or microfluidic devices.⁹²

Recently, the first analytical tool based on the optoelectrofluidics has been developed.⁹³ In the report, an optoelectrofluidic platform was applied to measure molecular mobility in a fluid. After rapid molecular depletion within a localized region by optoelectrofluidic phenomena at extremely low ac frequency around a few hundred Hz, the recovery of fluorescence signal due to the molecular diffusion was measured according to the time (Fig. 10b). This measurement scheme is very similar to the conventional technique called fluorescence recovery after photobleaching (FRAP).⁹⁴ Compared to other optical methods such as FRAP^{95,96} and fluorescence correlation spectroscopy,^{97,98} this

optoelectrofluidic technique, which requires no high-power lasers, no high-speed camera, no photobleaching, no fluidic components, and a few optical components, provides an easier and simpler way to measure the molecular diffusion coefficient in solution. In addition, tuning of optimal operation range for more accurate measurement is easily possible by controlling the light pattern. However, the optoelectrofluidic method has also disadvantages compared to the other optical techniques: it always requires an electrical source and is not applicable *in vivo*.

6. Challenges and future perspectives

Since the appearance of OET in 2005, the optoelectrofluidic technologies have attracted significant press and interest. However, most of the previous studies have been focused on manipulating different materials using different optoelectrofluidic mechanisms compared to those reported by other groups. These studies are very meaningful in the point of view that the versatile applicability and flexibility of optoelectrofluidics have been successfully proven. However, such an approach, in which only the potentials and rosy expectations are leaved without demonstration of their real benefits or practical applications, may push the technology to its limit and make it quickly become unfashionable. According to Gartner's hype cycle, most technologies enter to the trough of disillusionment, during which the technology rapidly becomes unfashionable and the press abandons the topic, before reaching the plateau of productivity, during which its benefits become widely demonstrated and accepted.⁹⁹ We would like to assert that the optoelectrofluidic technology is also going into the trough of disillusionment or already in that phase. To jump out the trough and to rapidly climb the slope of enlightenment up towards the plateau of productivity, more improved approaches for overcoming some challenges of the current state of the art or for showing its benefits and practical applications should be carried out.

Here several big challenges of optoelectrofluidics for making it become simpler and more practical will be discussed. Firstly, a more complete theoretical model should be developed for constructing reliable and predictable systems. Some electrokinetic phenomena such as DEP, ACEO, and ET flows, which are induced by optical methods, have been well-characterized for the case of when each mechanism occurs alone or much more significantly than others. Those mechanisms, however, always occur in conjunction with others. Thus, more complex behaviour of particles and fluids are shown in practice according to the properties of the applied ac signal and the optical source. In addition, there are optical phenomena ought to be considered in addition to the electrokinetic mechanisms differently from the conventional electrokinetic microfluidic devices—*i.e.*, optical-to-electrical or optical-to-thermal energy transfers, light absorption, reflection, and refraction, *etc.* The optics may make the system more complex than the conventional electrokinetic devices based on the patterned microelectrodes, in return for providing the programmability.

Secondly, new materials and schemes should be developed and utilized for higher value to cost ratio of the platform. The performance of OET is basically dependent on the media conductivity. For salty media such as blood plasma or cell culture media, the photoconductivity should be much higher than a-Si : H, which

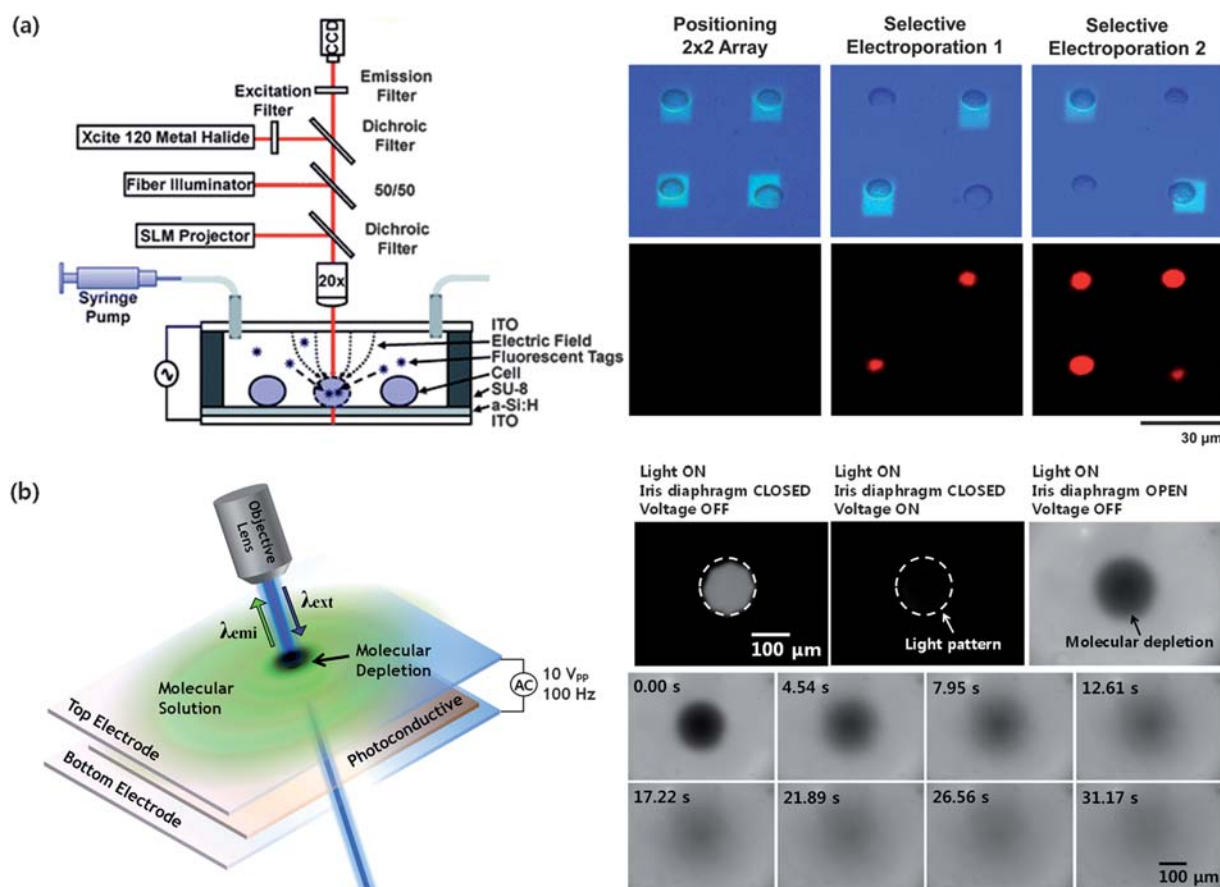


Fig. 10 Potential applications of optoelectrofluidics. (a) Optically controlled selective electroporation of HeLa cells for propidium iodide uptake (Valley *et al.*⁹⁰—reproduced by permission of The Royal Society of Chemistry). (b) Measurement of molecular diffusion coefficient using fluorescence recovery after optoelectrofluidic local molecular depletion at 100 Hz ac frequency (adapted with permission from Hwang and Park.⁹³ Copyright 2009 American Chemical Society).

has been utilized for the conventional OET device. This problem can limit the practical application of this optoelectrofluidic platform into chemistry and biology. The phototransistor-based OET device has been developed for increasing the photoconductivity of the device and applied to manipulate cells in a high-conductivity physiological buffer.³⁰ However, the fabrication process of this device is very complicated and requires relatively high manufacturing cost. For lowering the cost and simplifying the fabrication, polymer-based OET devices have also been developed,^{31,32} but the photoconductivity and the wet durability are their problems awaiting solution. On the other hand, the optically induced ET vortex system does not require such a special photoconductive material like OET, but require much stronger light source for increasing local temperature of the liquid. In the case of UV-based method, the change of surface conductivity due to the UV exposure has been demonstrated only for ITO electrode. For more efficient and simpler platform, another approach such as the combination of conventional weak light source and metal electrodes is still required.

Thirdly, integration with other components such as sensors or fluidic channels should be considered. The optoelectrofluidic platform allows us to freely manipulate particles or fluids without patterned electrodes, fluidic channels, and high-power light sources. At the early stage of this technology, this

capability seemed to make us omnipotent only with a slide glass-like device and a light. However, it did not take long time for the researchers to find that the manipulation within a part of a liquid droplet is not all, and to perceive the necessity of additional components for detection, collection, and bulk manipulation of the samples. In this regard, some efforts have been done to integrate other components into the optoelectrofluidic system.^{27,79–81} Another approach in which the optoelectrofluidic mechanisms are applied to the conventional microfluidic systems is also required.

Finally, real benefits and practical application of the optoelectrofluidic technology should be demonstrated. This challenge is the most important one for the technology progress in the future, and all the other challenges should be on the basis of this one. The optoelectrofluidic platforms have been frequently compared with other manipulation technologies such as optical tweezers and electrokinetic devices.^{100,101} In particular, OET allows us to manipulate more microparticles in larger area with much weaker light source than the optical tweezers, as well as to parallel control the electrokinetic behaviour of the particles in a programmable manner without patterned microelectrode array differently from the electrokinetic devices. However, these advantages of the optoelectrofluidic platforms are comparative. For some perspectives and applications, the optoelectrofluidic

platforms cannot act as a complete substitute for other manipulation technologies. Optical tweezers and magnetic tweezers have been applied for measurement and analysis of physical properties of single molecules and their interactions especially in chemical and biological fields because of their high resolution, capability of 3D manipulation, and easiness for accurate force quantification.¹⁰² The current optoelectrofluidic platforms, in which complex electrokinetic mechanisms occurs in concert and only 2D manipulation with micrometre resolution is possible, are not applicable for single molecular studies. Recently, an analytical tool based on the optoelectrofluidics has also been reported.⁹³ In the literature, the diffusion coefficient of molecules could be easily and accurately measured using an OET platform. Unfortunately, this is the only practical application of the optoelectrofluidic platforms in analytical science. The researchers have concentrated upon the manipulation-based applications such as patterning or sorting of microparticles using the optoelectrofluidic platforms as shown in Table 3. Among such those applications, however, the continuous sorting of microparticles²⁷ could also be easily realized through the conventional microelectrode systems,⁸⁸ and the performances of the optoelectrofluidic-based systems are not obviously better than those of the conventional systems. In this case, the optical components for inducing electric fields in the optoelectrofluidic platforms may become surplus compared to the conventional electrokinetic sorting systems, which require only an electrical source. Therefore, the researchers now have to try to find an answer to the question “Where is it really useful?” To answer this question, not only the function-based approach, which starts from finding what we can do with this technology for chemistry and biology, but also the purpose-based approach, which starts with considering what is required and needed for chemists or biologists, will be helpful. For example, automated or interactive separation of fertilizable oocytes without handling outside the incubator is strongly required in the fields of assisted reproductive technologies. The optoelectrofluidic separation technique would be very useful for this purpose.⁵³ A sandwich immunoassay is also essential and powerful technique in chemistry and biology, although it requires repetitive washing steps and long incubation time. Although many microfluidic devices have been reported, they always require troublesome fluidic components, and yield large amount of dead volumes and many disposables.^{103–105} An optoelectrofluidic immunoassay platform, in which all the processes for sandwich immunoassay are automatically controllable by an animated image pattern in a nanolitre volume droplet without any fluidic components, has been recently proposed to overcome those limitations.¹⁰⁶

Most of the newly developed technologies have been faded out as time goes by like a flash in the pan. Whether or not the new technology places itself as a field of study and industry depends on whether or not its real benefits and practical applications have been demonstrated and accepted to the end-users as well as the conference members or the publishers. Optoelectrofluidics has already started to take its place on various fields of study due to the efforts of many researchers. If there are continuous efforts to deal with the challenges of optoelectrofluidic technologies and to achieve its progress in chemistry and biology like this moment, it will promise better and practical applications of the optoelectrofluidics-based lab-on-a-chip systems in several analytical and biomedical fields in near future.

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